FORM PTO-1390 (REV 10-94) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTORNEY'S DOCKET NUMBER 14434.92USWO TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 U.S. APPLICATION OF TOWN TO THE TOWN TO TH INTERNATIONAL APPLICATION NO. PRIORITY DATE CLAIMED INTERNATIONAL FILING DATE PCT/JP2004/009342 JULY 1, 2004 JULY 1, 2003 TITLE OF INVENTION LIGHT DIFFRACTION METHOD AND DIFFRACTION DEVICE, DIFFRACTION GRATING USED FOR THEM, AND POSITION ENCODING DEVICE APPLICANT(S) FOR DO/EO/US MIYAZAKI et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: [X] This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. [X] This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(l). 4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. [X] A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. [X] is transmitted herewith (required only if not transmitted by the International Bureau). b. [X] has been transmitted by the International Bureau. c. [ ] is not required, as the application was filed in the United States Receiving Office (RO/US) 6. [X] A translation of the International Application into English (35 U.S.C. 371(c)(2)). [X] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are transmitted herewith (required only if not transmitted by the International Bureau). have been transmitted by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. [X] have not been made and will not be made. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). [X] An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11. to 16. below concern document(s) or information included: 11. [X] An Information Disclosure Statement under 37 CFR 1.97 and 1.98 Form 1449, 4 references, copy of International Search Report (Form PCT/ISA/210) in English and Japanese language. 12. [ ] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. [X] A FIRST preliminary amendment. A SECOND of SUBSEQUENT preliminary amendment. 14. [ ] A substitute specification. A change of power of attorney and/or address letter. 16. [X] Other items or information: Application Data Sheet (4 pages), International Publication Page(s) of WO 2005/003823 A1, Communication re: Requested Figure, PCT Request (in Japanese language), Form PCT/IB/301, Form PCT/IB/304, Form PCT/IB/308 (2).

U.S. APPLICATION NO.			ATTORNEY'S DOCKET NUMBER							
UNKNO 10/561489				PCT/JP2004/009342		14434.92USWO				
BASIC NATION [X] a) Basic Natio			\$300.00							
[X] b) Examinatio	n fee				\$200.00	\$200.00				
(X) c) Search fee					\$500.00	\$500.00				
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		- <del>-</del>	\$1000.00							
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Surcharge of \$130 months from the e			\$0.00							
CLAIMS		NUMBER	R FILED NUMBER EXTRA		RATE					
Total claims		15	-20 =	0	X \$50.00	\$0.00				
Independent claim	ıs	4	-3 =	1	X \$200.00	\$200.00				
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Reduction by 1/2 pursuant to 37 CF			\$0.00							
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						charged	\$0.00			
a. [X] Check(s) in the amount of \$1200.00 to cover the above fees is enclosed.										
b. [ ] Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.										
c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>50-3478</u> .										
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.										
SEND ALL CORRESPONDENCE TO:										
Douglas P. Mu Hamre, Schum		ueller & Larso	GNATURE: W	- 						
P.O. Box 2902	-0902		MF: Douglas P Muellar							
Minneapolis, MN 55402 NAME: Douglas R. Mueller REGISTRATION NUMBER: 30,300										

# Q/56148**9**

# PTO 20 DEC 2005 IN THE UNITED STATES PATENT AND TR

Applicant:

MIYAZAKI et al.

Examiner:

**UNKNOWN** 

Serial No.:

UNKNOWN

Group Art Unit:

UNKNOWN

Filed:

CONCURRENT

Docket:

14434.92USWO

**HEREWITH** 

Title:

LIGHT DIFFRACTION METHOD AND DIFFRACTION

DEVICE, DIFFRACTION GRATING USED FOR THEM,

AND POSITION ENCODING DEVICE

**CERTIFICATE UNDER 37 CFR 1.10:** 

"Express Mail" mailing label number: EV 775296325 US

Date of Deposit: DECEMBER 20, 2005

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner

for Patents, P.O. Box 1450, Arlington, VA 22313-1450

Name: RALYNN WILHELM

### COMMUNICATION REGARDING REQUESTED FIGURE

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In connection with the above-identified application, applicant respectfully requests that Figure 1 be printed on the front page of the published application.

If a telephone conference would be helpful in resolving any issues concerning this communication, please contact Applicants' primary attorney-of record Douglas P. Mueller (Reg. No. 30,300), at (612) 455.3804.

Respectfully submitted,

HAMRE, SCHUMANN, MUELLER &

LARSON, P.C.

P.O. Box 2902 Minneapolis, MN 55402-0902 (612) 455-3800

Dated: December 20, 2005

By:

Douglas P. Mueller

Reg. No. 30,300

DPM:nel

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IAP20 Rec'd PCT/PTO 20 DEC 2005

Applicant:

MIYAZAKI et al.

Docket:

14434.92USWO

Title:

LIGHT DIFFRACTION METHOD AND DIFFRACTION DEVICE, DIFFRACTION

GRATING USED FOR THEM, AND POSITION ENCODING DEVICE

**CERTIFICATE UNDER 37 CFR 1.10**:

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P.O. Box 1450 Alexandria, Virginia 22313-1450.

Name RALYNN WILHELM

MS PCT

Commissioner for Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

Sir:

We are transmitting herewith the attached:

- Transmittal sheet, in duplicate, containing Certificate under 37 CFR 1.10.
- National Stage PCT Patent Application: Spec. 41 pgs; 15 claims; Abstract- 1 pg. The fee has been calculated as shown below in the 'Claims as Filed' table.
- □ 12 sheets of formal drawings
- ☐ Check in the amount of \$1200.00 to cover the Filing Fee.
- Information Disclosure Statement, Form 1449, 4 reference(s).
- Application Data Sheet, 4 pages.
- Other: Form PTO-1390, Preliminary Amendment, WO 2005/003823 A1, copy of the International Application ds filed, translation of the International application into English, Communication re: Requested Figure, copy of International Search Report (Form PCT/ISA/210) in English and Japanese language, PCT Request (in Japanese language), Form PCT/IB/301, Form PCT/IB/304, Form PCT/IB/308 (2).
- Return postcard

## **CLAIMS AS FILED**

Number of Claims Filed	No.	In Excess of		Extra	Rate		Fee
Total Claims	15	20		0	50.00	=	0.00
Independent Claims	4	3		. 1	200.00	=	200.00
Multiple Dependent Claims Fee			=	0.00			
Basic Filing Fee							300.00
Search Fee							500.00
Examination Fee						=	200.00
Utility Application Size Fee	41	100		0	250.00		0.00
Total	L		<u> </u>			=	1200.00

Please charge any additional fees or credit overpayment to Deposit Account No. 50-3478. A duplicate of this sheet is enclosed.

By:

Hamre, Schumann, Mueller & Larson, P.C. P.O. Box 2902-0902 Minneapolis, MN 55402 612.455-3800

Name: Douglas P. Mueller

Reg. No.: \30,300 Initials: DPM:nel

PATENT TRADEMARK OFFICE

(PTO TRANSMITTAL - NEW FILING)